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**GENERAL FISHERIES COMMISSION  
FOR THE MEDITERRANEAN  
COMMISSION GÉNÉRALE DES PÊCHES  
POUR LA MÉDITERRANÉE**



**GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN**

**Thirty-third Session**

**Tunis, Tunisia, 23-27 March 2009**

**ICCAT RECOMMENDATIONS OF RELEVANCE  
TO THE MEDITERRANEAN**

- I. Recommendation [08-03] by ICCAT on Mediterranean Swordfish
- II. Recommendation [08-05] by ICCAT amending the Recommendation by ICCAT to establish a multiannual recovery plan for bluefin tuna in the Eastern Atlantic and Mediterranean
- III. Recommendation [08-12] by ICCAT amending Recommendation [07-10] on an ICCAT Bluefin Tuna catch documentation programme

08-03

SWO

**RECOMMENDATION BY ICCAT  
ON MEDITERRANEAN SWORDFISH**

*RECOGNISING* that the Commission's Standing Committee on Research and Statistics (SCRS) indicated in its 2007 stock assessment that the fishing mortality needs to be reduced to move the stock toward the Convention objective of biomass levels which could support MSY, and that seasonal closures are considered to be beneficial in moving the stock condition closer to the Convention objective;

*NOTING* that the SCRS in its assessment in 2007 estimated that fish less than three years old usually represent 50-70% of the total yearly catches in terms of numbers and 20-35% in terms of weight and indicates that a reduction in the volume of juvenile catches would improve yield per recruit and spawning biomass per recruit levels;

*RECALLING* the *Recommendation by ICCAT Relating to Mediterranean Swordfish* [Rec. 03-04], which encourages CPCs to take measures to reduce juvenile Mediterranean swordfish catches;

*TAKING INTO ACCOUNT* the SCRS advice given in 2008 advocating seasonal closures and pending the adoption of a more comprehensive management plan for Mediterranean swordfish;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. Fishing for Mediterranean swordfish shall be prohibited in the Mediterranean during the period from 1 October to 30 November.
2. CPCs shall monitor the effectiveness of this closure and submit relevant information to the SCRS.
3. CPCs shall ensure the maintenance or development of adequate scientific information in the formats requested by ICCAT and in smallest time-area possible on the size distributions of the catches.
4. This Recommendation replaces the *Recommendation by ICCAT on Mediterranean Swordfish* [Rec. 07-01].

08-05

BFT

**RECOMMENDATION AMENDING THE RECOMMENDATION BY ICCAT  
TO ESTABLISH A MULTIANNUAL RECOVERY PLAN FOR BLUEFIN TUNA IN  
THE EASTERN ATLANTIC AND MEDITERRANEAN**

*TAKING INTO ACCOUNT* the discussions in the ICCAT Compliance Committee in 2008 concerning the implementation of the recovery plan adopted in 2006,

*TAKING INTO ACCOUNT* the stock recovery scenario developed by SCRS based on the stock assessment carried out in 2008,

*DESIRING* to achieve a stock level consistent with the objective of the Convention within 15 years,

*CONVINCED* that to achieve this objective, it is necessary to strengthen the recovery plan for that stock adopted in 2006. The objective is to recover the stock through a combination of management measures which will protect the spawning stock biomass and reduce juvenile catches,

*RECOGNIZING* that the success of the recovery plan involves the strengthening of the control system, which should include a set of effective control measures to ensure the respect of the management measures and to ensure the traceability of all the catches,

*CONSIDERING* the necessity to improve the responsibility of the industry, flag States, port States, farm States and market States to ensure compliance with the present recommendation,

*GIVEN* the need to address the overcapacity of the fleet and the farming capacity;

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

**Part I  
General provisions**

1. The Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (hereinafter referred to as CPCs), whose vessels have been actively fishing for bluefin tuna in the East Atlantic and Mediterranean shall implement a 15 year Recovery Plan for bluefin tuna in the East Atlantic and Mediterranean starting in 2007 and continuing through 2022, with the goal of achieving Bmsy, with greater than 50% probability.

**Definitions**

2. For the purposes of this Plan:
  - a) "Fishing vessel" means any vessel used or intended for use for the purposes of the commercial exploitation of bluefin tuna resources, including catching vessels, fish processing vessels, support ships, tug and towing vessels, vessels engaged in transshipment and transport vessels equipped for the transportation of tuna products and auxiliary vessels, except container vessels;

- b) "Catching vessel" means a vessel used for the purposes of the commercial capture of bluefin tuna resources;
- c) "Processing vessel" means a vessel on board of which fisheries products are subject to one or more of the following operations, prior to their packaging: filleting or slicing, freezing and/or processing;
- d) "Auxiliary vessel" means any vessel used to transport dead bluefin tuna (not processed) from a cage to a designated port.
- e) "Fishing actively" means, for any catching vessel, the fact that it targets bluefin tuna during a given fishing season;
- f) "Joint fishing operation" means any operation between two or more catching vessels flying the flag of different flag States CPCs where the catch of one catching vessel is attributed to one or more other catching vessels in accordance with an allocation key;
- g) "Transfer activities" means:
  - any transfer of live bluefin tuna from the catching vessel net to the transport cage;
  - any transfer of live bluefin tuna from the transport cage to another transport cage;
  - any transfer of dead bluefin tuna from the transport cage to an auxiliary vessel.
  - any transfer from a bluefin tuna farm or a tuna trap to a processing vessel, transport vessel or to land.
- h) "Tuna trap" means fixed gear anchored to the bottom usually containing a guide net that leads fish into an enclosure.
- i) "Caging" means the transfer of bluefin tuna from the transport cage to the fattening and farming cages.
- j) "Fattening" means caging of bluefin tuna for a short period (usually 2-6 months) aiming mostly at increasing the fat content of the fish.
- k) "Farming" means caging of bluefin tuna for a period longer than one year, aiming to increase the total biomass.
- l) "Transshipment" means the unloading of all or any of the fish on board a fishing vessel to another fishing vessel at port.
- m) "Sport fishery" means a non-commercial fishery whose members adhere to a national sport organization or are issued with a national sport license.
- n) "Recreational fishery" means a non-commercial fishery whose members do not adhere to a national sport organization or are not issued with a national sport license.

### **Length of vessels**

3. All lengths of vessels referred to in this Recommendation shall be understood as length overall.

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**Part II**  
**Management measures**

**TAC and quotas**

4. The total allowable catches (TACs) are fixed:
  - 2007: 29,500 t
  - 2008: 28,500 t
  - 2009: 22,000 t
  - 2010: 19,950 t<sup>1</sup>
  - 2011: 18,500 t
5. The SCRS shall monitor and review the progress of the Plan and submit an assessment to the Commission in 2010.
6. The TAC for 2011 onwards may be adjusted following the SCRS advice. The relative shares shall be decided by the Commission in 2010.
7. The allocation scheme for 2007-2010 is set in **Annex 4** to this Recommendation.

**Associated conditions to TAC and quotas**

8. Each CPC shall take the necessary measures to ensure that the fishing effort of its catching vessels and its traps are commensurate with the fishing opportunities on bluefin tuna available to that CPC in the Eastern Atlantic and Mediterranean Sea, including by establishing individual quotas for its catching vessels over 24 m included in the list referred to in paragraph 54 a).
9. Each CPC shall draw up an annual fishing plan for the catching vessels and traps fishing bluefin tuna in the Eastern Atlantic and Mediterranean Sea. The annual fishing plan shall identify, *inter alia*, the catching vessels over 24 meters included in the list referred to in paragraph 54 a) and the individual quota allocated to them and the method used to allocate quota as well as the measure to ensure the respect of the individual quota.
10. Each CPC shall also allocate a specific quota for the purpose of recreational and sport fisheries as defined in paragraph 2 m) and n).
11. No later than 1 March each year, the annual fishing plan shall be transmitted by each CPC to the ICCAT Executive Secretariat. Any subsequent modification to the annual fishing plan or to the specific method used to manage their quota shall be transmitted to the ICCAT Executive Secretariat at least 10 days before the exercise of the activity corresponding to that modification.
12. No later than 15 October, each CPC shall report to the ICCAT Executive Secretariat on the implementation of their annual fishing plans for that year. Those reports shall include:
  - a) the number of catching vessels actually engaged in active fishing activities involving bluefin tuna in the Eastern Atlantic and Mediterranean;
  - b) the catches of each catching vessel; and
  - c) the total number of days each catching vessel fished in the Eastern Atlantic and Mediterranean.

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<sup>1</sup> This TAC may be adjusted at 2009 annual meeting of the Commission in case of substantial overharvest of TAC identified in 2009 and/or new relevant scientific findings and/or relevant international developments.

13. The flag CPC may require the catching vessel to proceed immediately to a port designated by it when the individual quota is deemed to be exhausted.
14. a) No carry-over of any under-harvests shall be made under this Plan.  
 b) By derogation to paragraph 4 of the 2002 *Recommendation by ICCAT Concerning a Multi-year Conservation and Management Plan for Bluefin Tuna in the East Atlantic and Mediterranean* [Rec. 02-08], no more than 50 % carry-over of any under-harvests arising from 2005 and/or 2006 may be made under this Plan. Paragraph 2 of the 1996 *Recommendation by ICCAT Regarding Compliance in the Bluefin Tuna and North Atlantic Swordfish Fisheries* [Rec. 96-14] shall not apply for the overages in 2005 and 2006.  
 c) The underages of Libya, Morocco and Tunisia in 2005 and 2006 may be carried over to 2009 and 2010 as follows:

<i>CPCs</i>	<i>2009</i>	<i>2010</i>
Libya	145 t	145 t
Morocco	327 t	327 t
Tunisia	202 t	202 t

- d) Any overage of a CPC shall be deducted from the next year's quotas of that CPC. Notwithstanding this provision, the payback of the European Community for its overage in 2007 shall be spread over 2009-2012 (500 t in 2009 and 2010, 1,510 t in 2011 and 2012). This payback shall be reviewed in the light of a general transparency and incentive provision on overages to be adopted by ICCAT at the latest in 2010.
15. CPCs shall be encouraged to voluntarily reduce their catches of bluefin tuna in Eastern Atlantic and Mediterranean in 2009. Notwithstanding paragraph 14 a), the voluntary reduced portion of the CPC's allocation may be carried over to 2011 on condition that such voluntary reduced portion is notified to the ICCAT Secretariat before March 1, 2009.
16. Private trade arrangements and or transfer of quotas/catch limits between CPCs shall be done only under authorization by the CPCs concerned and the Commission.
17. To comply with paragraph 1 of 2002 *Recommendation by ICCAT on Vessel Chartering* [Rec. 02-21], the percentage of a CPC's bluefin tuna quota/catch limit that may be used for chartering shall not exceed 60%, 40% and 20% of the total quota in 2007, 2008, 2009, respectively. No chartering operation for the bluefin tuna fishery is permitted in 2010.  
 By derogation to paragraph 3 of the 2002 *Recommendation by ICCAT on Vessel Chartering* [Rec. 02-21], only bluefin tuna catching vessels flying the flag of a CPC can be chartered.

The number of bluefin tuna catching vessels chartered and the duration of the charter shall be commensurate with the quota allocated to the charter nation.

18. Any joint fishing operation for bluefin tuna shall only be authorized with the consent of the flag States if the vessel is equipped to fish bluefin tuna and has an individual quota, and in accordance with the following requirements.

At the moment of the application for the authorization, following the format set in **Annex 6**, each flag State shall take the necessary measures to obtain from its fishing vessel(s) participating in the joint fishing operation the following information:

- duration,
- identity of the operators involved,
- individual vessels' quotas,
- the allocation key between the vessels for the catches involved,
- and the information on the fattening or farming farms of destination.

Each flag State authorizing its vessels to participate shall transmit all this information to the other participating flag State. The CPCs involved in the joint fishing operation shall transmit all this information to the ICCAT Secretariat at least ten days before the start of the operation.

The Commission shall establish and maintain an ICCAT record of all joint fishing operations authorized by the flag States CPCs in the eastern Atlantic and Mediterranean Sea.

### **Closed fishing seasons**

19. Bluefin tuna fishing shall be prohibited in the East Atlantic and Mediterranean by large-scale pelagic longline catching vessels over 24 m during the period from 1 June to 31 December with the exception of the area delimited by West of 10°W and North of 42°N, where such fishing shall be prohibited from 1 February to 31 July.
20. Purse seine fishing for bluefin tuna shall be prohibited in the East Atlantic and Mediterranean during the period from 15 June to 15 April.
21. If a CPC can demonstrate that due to bad weather (more than 7 knots) certain of its purse seine catching vessels have been unable to utilize the fishing days referred to in paragraph 20, the CPC may carry over a maximum of 5 days lost until 20 June. This CPC shall notify by 15 June to the ICCAT Secretariat the information on the additional fishing days granted, with evidence of bad weather. The ICCAT Secretariat shall forward without delay this information to other CPCs.
22. Bluefin tuna fishing by baitboats and trolling boats shall be prohibited in the East Atlantic and Mediterranean during the period from 15 October to 15 June.
23. Bluefin tuna fishing by pelagic trawlers shall be prohibited in the East Atlantic during the period from 15 October to 15 June.
24. Bluefin tuna recreational and sport fishing shall be prohibited in the eastern Atlantic and Mediterranean from 15 October to 15 June.

### **Spawning grounds**

25. For the annual meeting of the Commission in 2010, the SCRS shall identify as precisely as possible spawning grounds in the Mediterranean in view of the creation of sanctuaries.

### **Use of aircraft**

26. CPCs shall take necessary measures to prohibit the use of airplanes or helicopters for searching for bluefin tuna in the Convention area.

### **Minimum size**

27. CPCs shall take the necessary measures to prohibit catching, retaining on board, transshipping, transferring, landing, transporting, storing, selling, displaying or offering for sale bluefin tuna (*Thunnus thynnus thynnus*) weighing less than 30 kg.
28. By derogation of paragraph 27, a minimum size for bluefin tuna (*Thunnus thynnus thynnus*) of 8 kg shall apply to the following situations in accordance with the procedures set out in **Annex 1**.
  - a) Bluefin tuna caught by baitboats and trolling boats in the eastern Atlantic.

- b) Bluefin tuna caught in the Adriatic Sea for farming purposes.
- c) Bluefin tuna caught in the Mediterranean Sea by the coastal artisanal fishery for fresh fish by baitboats, longliners and handliners.

29. For catching vessels fishing actively for bluefin tuna, an incidental catch of maximum 5% of bluefin tuna weighing between 10 and 30 kg may be authorized. This percentage is calculated on the total incidental catches in number of fish retained on board this vessel, or their equivalent in percentage in weight. Incidental catches must be deducted from the quota of the flag State CPC. The procedures referred to in paragraphs 61, 62, 63, 64, 66, 67 and 68 shall apply to the incidental catch.

### **By-catch**

30. Catching vessels not fishing actively for bluefin tuna are not authorized to retain on board bluefin tuna exceeding more than 5% of the total catch on board by weight or/and number of pieces. By-catches must be deducted from the quota of the flag state CPC.

The procedures referred to in paragraphs 61, 62, 63, 64, 66, 67 and 68 shall apply to the by-catch.

### **Recreational fisheries**

- 31. Recreational fisheries on bluefin tuna shall be subject to the authorization for each vessel issued by the flag State CPC.
- 32. CPCs shall take the necessary measures to prohibit the catch and retention on board, transshipment or landing of more than one bluefin tuna in each sea trip.
- 33. The marketing of bluefin tuna caught in recreational fishing shall be prohibited except for charitable purposes.
- 34. Each CPC shall take measures to record catch data from recreational fishing and transmit them to the SCRS. Catches of recreational fisheries shall be counted against the quota allocated to the CPC in accordance with paragraph 10.
- 35. Each CPC shall take the necessary measures to ensure, to the greatest extent possible, the release of bluefin tuna caught alive, especially juveniles, in the framework of recreational fishing.

### **Sport fisheries**

- 36. CPCs shall take the necessary measures to regulate sport fishing, notably by fishing authorizations.
- 37. The marketing of bluefin tuna caught in sport fishing competitions shall be prohibited except for charitable purposes.
- 38. Each CPC shall take measures to record catch data from sport fishing and transmit them to the SCRS. Catches of sport fishing shall be counted against the quota allocated to the CPC in accordance with paragraph 10.
- 39. Each CPC shall take the necessary measures to ensure, to the greatest extent possible, the release of the bluefin tuna caught alive, especially juveniles, in the framework of sport fishing.



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### **Part III**

#### **Capacity measures**

##### **Adjustment of fishing capacity**

40. Each CPC shall ensure that its fishing capacity is commensurate with its allocated quota.
41. To that purpose each CPC shall establish a management plan over 2010-2013. Such plan shall be submitted to the Commission by 15 September 2009 for discussion and approval by the Commission at its annual meeting in 2009, and shall be reviewed at its annual meeting in 2010. Such plan shall include the information referred to in paragraphs 42 to 48.

##### **Freezing of fishing capacity**

42. CPCs shall limit the number, and the corresponding gross registered tonnage, of their fishing vessels to the number and tonnage of their vessels that fished for, retained on board, transshipped, transported, or landed bluefin tuna during the period 1 January 2007 to 1 July 2008. This limit shall be applied by gear type for catching vessels and by vessel type for other fishing vessels.
43. Paragraph 42 shall not be interpreted to affect the measures contained in **Annex 1** paragraphs 1 and 2 of this Recommendation.
44. CPCs shall limit the number of their traps engaged in the eastern Atlantic and Mediterranean bluefin tuna fishery to the number authorized by each CPC by 1 July 2008.
45. This freezing may not apply to certain CPCs, in particular developing States that demonstrate that they need to develop their fishing capacity so as to fully use their quota. Such CPCs shall indicate in their management plans the programming of the introduction of additional fishing capacity into the fishery.

##### **Reduction of fishing capacity**

46. Without prejudice to paragraph 45, each CPC shall reduce its fishing capacity referred to in paragraphs 42, 43 and 44 so as to ensure for 2010 that at least 25% of the discrepancy between its fishing capacity and its fishing capacity commensurate with its allocated quota in 2010 is achieved.
47. To calculate its fishing capacity reduction, each CPC shall take into account *inter alia*, the estimated yearly catch rates per vessel and gear.
48. This reduction may not apply to certain CPCs that demonstrate that their fishing capacity is commensurate with their allocated quotas.

##### **Adjustment of farming capacity**

49. Each farming or fattening CPC shall establish a management plan over 2010-2013. Such plan shall be submitted to the Commission by 15 September 2009 for discussion and approval by the Commission at its annual meeting in 2009, and shall be reviewed at its annual meeting in 2010. Such plan shall include the information referred in paragraphs 50 to 53.
50. Each CPC shall limit its tuna farming capacity to the farming capacity of the farms that were registered in the ICCAT list or authorized and declared to ICCAT as of 1 July 2008.

51. Each CPC shall establish for 2010 a maximum input of wild caught bluefin tuna into its farms at the level of the input quantities registered with ICCAT by its farms in 2005, 2006, 2007 or 2008.
52. Within the maximum input quantity of wild caught bluefin tuna referred to in paragraph 51, each CPC shall allocate inputs to its farms.
53. Further adjustment of farming capacity shall be decided by the Commission at its annual meeting in 2010, depending on the level of the TAC after 2010.

#### **Part IV Control measures**

##### **ICCAT bluefin tuna records of vessels**

54. a) The Commission shall establish and maintain an ICCAT record of all catching vessels authorized to fish actively for bluefin tuna in the eastern Atlantic and Mediterranean Sea.
- b) The Commission shall establish and maintain an ICCAT record of all other fishing vessels (i.e. catching vessels excluded) authorized to operate for bluefin tuna in the eastern Atlantic and Mediterranean Sea.

During a calendar year, a fishing vessel shall be registered in only one of the ICCAT records referred to paragraphs a) and b). Without prejudice to paragraph 30, for the purposes of this recommendation, fishing vessels not entered into one of the ICCAT records referred to in paragraph a) and b) are deemed not to be authorized to fish for, retain on board, tranship, transport, transfer, process or land bluefin tuna in the eastern Atlantic and Mediterranean Sea.

55. Each flag CPC shall submit electronically each year to the ICCAT Executive Secretary, at the latest one month before the beginning of the fishing seasons referred to in paragraphs 19 to 23, when applicable, and otherwise by 1 March, the list of its catching vessels authorized to fish actively for bluefin tuna and the list of its other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea referred to in paragraph 54 a) and b), in accordance with the format set in the Guidelines for submitting data and information required by ICCAT.

Any subsequent changes shall not be accepted unless a notified fishing vessel is prevented from participation due to legitimate operational reasons or *force majeure*. In such circumstances, the CPC concerned shall immediately inform the ICCAT Executive Secretariat, providing:

- a) full details of the intended replacement fishing vessel(s) referred to in paragraph 54;
- b) a comprehensive account of the reasons justifying the replacement and any relevant supporting evidence or references.

56. Conditions and procedures referred in the 2002 *Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Meters Authorized to Operate in the Convention Area* [Rec. 02-22] (except paragraph 3) shall apply *mutatis mutandis*.

##### **ICCAT record of tuna traps authorized to fish for bluefin tuna**

57. The Commission shall establish and maintain an ICCAT Record of all tuna traps authorized to fish for bluefin tuna in the Eastern Atlantic and Mediterranean Sea. For the purposes of this recommendation, tuna traps not entered into the record are deemed not to be authorized to be used to fish for, retain, transfer or land bluefin tuna.

58. Each CPC shall submit electronically to the ICCAT Executive Secretary, by 1 March each year, the list (including the name of the traps, register number) of its authorized tuna traps referred to in paragraph 57. Conditions and procedures referred in the 2002 *Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels Over 24 Meters Authorized to Operate in the Convention Area* [Rec. 02-22] (except paragraph 3) shall apply *mutatis mutandis*.

### **Information on fishing activities**

59. By 1 March each year, each CPC shall notify the ICCAT Secretariat the list of the catching vessels included in the ICCAT record referred to in paragraph 54 a) that have fished for bluefin tuna in the eastern Atlantic and Mediterranean in the preceding fishing year.
60. Each CPC shall notify the ICCAT Secretariat of any information concerning vessels not covered in paragraph 59 but known or presumed to have fished for bluefin tuna in the eastern Atlantic and Mediterranean. The ICCAT Secretariat shall forward such information to the flag State for action as appropriate, with a copy to other CPCs for information.

### **Transshipment**

61. Transshipment at sea operations of bluefin tuna in the East Atlantic and Mediterranean Sea shall be prohibited.
62. Fishing vessels shall only tranship bluefin tuna catches in designated ports of CPCs. To this end, each CPC shall designate ports in which transshipping of bluefin tuna is authorized and communicate a list of these ports to the ICCAT Secretariat by 1 March each year.

For a port to be determined as designated port, the port State shall specify permitted transshipping times and places.

The Port State shall ensure full inspection coverage during all transshipping times and at all transshipping places.

On the basis of this information the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website.

63. Prior to entry into any port, the receiving fishing vessel or its representative, shall provide the relevant authorities of the Port State at least 48 h before the estimated time of arrival, with the following:
- a) estimated time of arrival,
  - b) estimated quantity of bluefin tuna retained on board, and information on the geographic area where it was taken;
  - c) the name of the transshipping fishing vessel and its number in the ICCAT record of catching vessels authorized to fish actively for bluefin tuna or in the ICCAT record of other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea,
  - d) the name of the receiving fishing vessel, its number in the ICCAT record of catching vessels authorized to fish actively for bluefin tuna or in the ICCAT record of other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea,

- e) the tonnage and the geographic area of the catch of bluefin tuna to be transshipped.

Any transshipment requires the prior authorization from the flag State of the transshipping fishing vessel concerned.

The master of the transshipping fishing vessel shall, at the time of the transshipment, inform its Flag State of the following:

- a) the quantities of bluefin tuna involved,
- b) the date and port of the transshipment,
- c) the name, registration number and flag of the receiving fishing vessel and its number in the ICCAT record of catching vessels authorized to fish actively for bluefin tuna or in the ICCAT record of other fishing vessels authorized to operate in the eastern Atlantic and Mediterranean Sea,
- d) the geographical area of the catch of bluefin tuna.

The relevant authority of the port State shall inspect the receiving vessel on arrival and check the cargo and documentation related to the transshipment operation.

The relevant authority of the port State shall send a record of the transshipment to the flag State authority of the transshipping fishing vessel, within 48 hours after the transshipment has ended.

### **Recording requirements**

64. The masters of catching vessels shall keep a bound or electronic logbook of their operations, indicating particularly the quantities of bluefin tuna caught and kept on board, whether the catches are weighed or estimated, the date and location of such catches and the type of gear used in accordance with the requirements set out in **Annex 2**.
65. The masters of the catching vessels involved in a joint fishing operation shall record in their logbook:
- a) as regards the catching vessel transferring the fish into cages:
    - its name and international radio call sign;
    - the date and the time of the catch and of the transfer,
    - the location of the catch and of the transfer (longitude/latitude),
    - amount of catches taken on board, and amount of catches transferred into cages,
    - amount of catches counted against its individual quota,
    - the name of the tug boat and its ICCAT number.
  - b) as regards the other catching vessels not involved in the transfer of the fish:
    - their names and international radio call signs;
    - the date and the time of the catch and of the transfer,
    - the location of the catch and of the transfer (longitude/latitude),
    - that no catches have been taken on board or transferred into cages,
    - amount of catches counted against their individual quotas,
    - the name and the ICCAT number of the catching vessel referred to in (a),
    - the name of the tug boat and its ICCAT number.

66. Fishing vessels shall only land bluefin tuna catches in designated ports of CPCs. To this end, each CPC shall designate ports in which landing of bluefin tuna is authorized and communicate a list of these ports to the ICCAT Secretariat by 1 March each year.

For a port to be determined as designated port, the port State shall specify permitted landing times and places. The port State shall ensure full inspection coverage during all landing times and at all landing places.

On the basis of this information the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website.

67. Prior to entry into any port, the fishing vessels or their representative, shall provide the relevant authorities of the port, at least 4 hours before the estimated time of arrival, with the following:
- estimated time of arrival,
  - estimate of quantity of bluefin tuna retained on board,
  - the information on the geographic area where the catch was taken;

Port State authorities shall keep a record of all prior notices for the current year.

Each landing or caging shall be subject to an inspection by the relevant authorities of the port.

The relevant authority shall send a record of the landing to the flag State authority of the fishing vessel, within 48 hours after the landing has ended.

After each trip and within 48 hours of landing, the masters of catching vessels shall submit a landing declaration to the competent authorities of the CPC where the landing takes place and to its flag State. The master of the authorized catching vessel shall be responsible for the accuracy of the declaration, which shall indicate, as a minimum, the quantities of bluefin tuna landed and the area where they were caught. All landed catches shall be weighed and not only estimated.

68. The masters of fishing vessels shall complete and transmit to their flag State the ICCAT transshipment declaration no later than 48 hours after the date of transshipment in port in accordance with the format set out in **Annex 3**.

### **Communication of catches**

69. a) Each CPC shall ensure that its catching vessels fishing actively for bluefin tuna shall communicate by electronic or other means, to their competent authorities, a weekly catch report, with, as a minimum, information on the catch amount, including nil catch returns, the date and the location (latitude and longitude) of the catches. This report shall be transmitted by the latest Monday noon with the catches taken in the Plan Area during the preceding week ending Sunday midnight GMT. This report shall include information on the number of days in the Plan Area since the beginning of the fishing or since the last weekly report.
- b) Each CPC shall ensure that its purse seine catching vessels and its other catching vessels over 24 m fishing actively for bluefin tuna shall communicate, except in case of nil catch returns, by electronic or other means, to their competent authorities, a daily catch report, with, as a minimum, information on the catch amount, the date and the location (latitude and longitude) of the catches. If a CPC requires such daily reports even in case of nil catch returns, the weekly reports referred to in a) shall not be required.
- c) On the basis of the information referred to in (a) and (b), each CPC shall transmit without delay weekly catch reports for all vessels to the ICCAT Secretariat in accordance with the format set out in **Annex 5**.

### **Reporting of catches**

70. Each CPC shall report its provisional monthly catches of bluefin tuna. This report shall be sent to the ICCAT Secretariat within 30 days of the end of the calendar month in which the catches were made.
71. The ICCAT Secretariat shall within 10 days following the monthly deadlines for receipt of the provisional catch statistics collect the information received and circulate it to CPCs together with aggregated catch statistics.
72. The Executive Secretary shall notify without delay all CPCs of the date on which the accumulative reported catch taken by catching vessels of the CPCs is estimated to equal 85% of the concerned CPC quota for this stock. The CPC shall take the necessary measures to close its bluefin tuna fisheries before its quota is exhausted and notify this closure without delay to the ICCAT Secretariat which will circulate this information to all CPCs.

### **Cross check**

73. CPCs shall verify, including by using inspection reports and observer reports, VMS data, the submission of logbooks and relevant information recorded in the logbooks of their fishing vessels, in the transfer/transshipment document and in the catch documents.

The competent authorities shall carry out cross checks on all landings, all transshipment or caging between the quantities by species recorded in the fishing vessel logbook or quantities by species recorded in the transshipment declaration and the quantities recorded in the landing declaration or caging declaration, and any other relevant document, such as invoice and/or sales notes.

### **Transfer operations**

74. Before any transfer operation into towed cages, the master of the catching vessel shall send to its flag State CPC authorities before the transfer, a prior transfer notification indicating:
  - name of the catching vessel and ICCAT number record,
  - estimated time of transfer,
  - estimate of quantity of bluefin tuna to be transferred,
  - information on the position (latitude/longitude) where the transfer will take place,
  - name of the tug vessel, number of cages towed and ICCAT number record.
75. The transfer operation shall not begin without the prior authorization of the catching vessel flag State. If the flag State of the catching vessel considers on receipt of the prior transfer notification that:
  - a) the catching vessel declared to have caught the fish had not sufficient quota for bluefin tuna put into the cage,
  - b) the quantity of fish has not been duly reported and not taken into account for the consumption of the quota that may be applicable,
  - c) the catching vessel declared to have caught the fish is not authorized to fish for bluefin tuna, or
  - d) the tug vessel declared to receive the transfer of fish is not registered in the ICCAT record of all other fishing vessels referred to in paragraph 54 b) or is not equipped with a Vessel Monitoring System,

it shall inform the master of the catching vessel that the transfer is not authorized and to proceed to the release of the fish into the sea.

76. The masters of catching vessels shall complete and transmit to their flag State the ICCAT transfer declaration at the end of the transfer operation to the tug vessel, in accordance with the format set out in **Annex 3**.
77. The transfer declaration shall accompany the transfer of fish during transport to the farm or a designated port.
78. The authorization for transfer by the flag State does not prejudice the authorization of the caging operation.
79. The master of the caging vessel shall ensure that the transfer activities shall be monitored by video camera in the water.
80. The ICCAT Regional Observer on board the catching vessel, as referred to in the ICCAT Regional Observer Programme (**Annex 7**), shall record and report upon the transfer activities carried out, verify the position of the catching vessel when engaged in transfer operation, observe and estimate catches transferred and verify entries made in the prior transfer operation as referred to in paragraph 75 and in the ICCAT transfer declaration as referred to in paragraph 76.
81. The ICCAT Regional Observer shall countersign the prior transfer notification and the ICCAT transfer declaration. He shall verify that the ICCAT transfer declaration is properly filled and transmitted to the master of the tug vessel.

The tuna trap operator shall complete and transmit to its State the ICCAT transfer declaration at the end of the transfer operation to the fishing vessel, in accordance with the format set out in **Annex 3**

### **Caging Operations**

82. The CPC under whose jurisdiction the farm for bluefin tuna is located shall submit within one week a caging report, validated by an observer, to the CPC whose flag vessels has fished the tuna and to the ICCAT Secretariat. This report shall contain the information referred to in the caging declaration as set out in the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07].

When the farming facilities authorized to operate for farming of bluefin tuna caught in the Convention area (hereafter referred to as FFBS) are located beyond waters under jurisdiction of CPCs, the provisions of the previous paragraph shall apply, *mutatis mutandis*, to CPCs where the natural or legal persons responsible for FFBS are located.

83. Before any transfer operation into a farm, the flag CPC of the catching vessel shall be informed by the competent authority of the farm State of the transfer into cage of quantities caught by catching vessels flying its flag. If the flag CPC of the catching vessel considers on receipt of this information that:
- a) the catching vessel declared to have caught the fish had not sufficient quota for bluefin tuna put into the cage,
  - b) the quantity of fish has not been duly reported and not taken into account for the calculation of any quota that may be applicable, or
  - c) the catching vessel declared to have caught the fish is not authorized to fish for bluefin tuna, it shall inform the competent authority of the farm State to proceed to the seizure of the catches and the release of the fish into the sea.

The transfer operation shall not begin without the prior authorization of the catching vessel flag CPC.

84. The CPC under whose jurisdiction the farm for bluefin tuna is located shall take the necessary measures to prohibit placing in cages for farming or fattening bluefin tuna that are not accompanied by accurate, complete and validated documentation required by ICCAT.
85. The CPC under whose jurisdiction the farm is located shall ensure that transfer activities from cages to the farm shall be monitored by video camera in the water. This requirement shall not apply where the cages are directly fixed to the mooring system.

### **Trap activities**

86. CPCs shall take the necessary measures to ensure the record of the catches after the end of every fishing operation and the transmission of these data simultaneously by electronic means or other means within 48 hours after the end of every fishing operation to the competent authority, which shall transmit these data without delay to the ICCAT Secretariat.

### **VMS**

87. Without prejudice to paragraph 1 d) of Recommendation [06-07], CPCs shall implement a vessels monitoring system for their fishing vessels over 24 m, in accordance with the 2003 *Recommendation by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System in the ICCAT Convention Area* [Rec. 03-14].

Without prejudice to paragraph 1d) of Recommendation [06-07], with effect from 1 January 2010 this measure shall be applied for their fishing vessels over 15 m.

No later than 31 January 2008, each CPC shall communicate without delay messages pursuant to this paragraph to the ICCAT Secretariat, in accordance with the data exchange formats and protocols adopted by the Commission in 2007.

The ICCAT Executive Secretariat shall make available as soon as possible the information received under this paragraph to CPCs with an active inspection presence in the Plan Area and to SCRS, at its request.

On request from CPCs engaged in inspection at sea operations in the convention area in accordance with the ICCAT scheme of joint international inspection referred to in paragraphs 97 and 98 of this Recommendation, the ICCAT Secretariat shall make available the messages received under paragraph 3 of Recommendation [07-08] to all fishing vessels.

### **CPC Observer Program**

88. Each CPC shall ensure observer coverage on its catching vessels actively fishing for bluefin tuna over 15 m in overall length of at least:
- 20% of its active purse seine vessels between 15 m and 24 m in overall length;
  - 20% of its active pelagic trawlers,
  - 20% of its active longline vessels,
  - 20% of its active baitboats,
  - 100% during the harvesting process for tuna traps.

The observer tasks shall be, in particular, to:

- a) monitor a catching vessel compliance with the present recommendation,
- b) record and report upon the fishing activity, which shall include, inter alia, the following:
  - amount of catch (including by-catch), that also includes species disposition, such as retained on board or discarded dead or alive,



- area of catch by latitude and longitude,
  - measure of effort (e.g., number of sets, number of hooks, etc.), as defined in the ICCAT Field Manual for different gears.
  - date of catch,
- c) observe and estimate catches and verify entries made in the logbook,
- d) sight and record vessels that may be fishing contrary to ICCAT conservation measures.

In addition, the observer shall carry out scientific work, such as collecting Task II data, when required by the Commission, based on the instructions from the SCRS.

In implementing this observer requirement, CPCs shall:

- a) ensure representative temporal and spatial coverage to ensure that the Commission receives adequate and appropriate data and information on catch, effort, and other scientific and management aspects, taking into account characteristics of the fleets and fisheries;
- b) ensure robust data collection protocols;
- c) ensure observers are properly trained and approved before deployment;
- d) ensure, to the extent practicable, minimal disruption to the operations of vessels fishing in the Convention Area.

Data and information collected under each CPCs observer program shall be provided to the SCRS and the Commission, as appropriate, in accordance with requirements and procedures to be developed by the Commission by 2009 taking into account CPC confidentiality requirements.

For the scientific aspects of the program, the SCRS shall report on the coverage level achieved by each CPC and provide a summary of the data collected and any relevant findings associated with that data. SCRS shall also provide any recommendations to improve the effectiveness of CPC observer programs.

### **ICCAT Regional observer Programme**

89. An ICCAT Regional Observer Programme shall be established to ensure an observer coverage of 100%:
- of purse seine vessels over 24 m during all the annual fishing season (**Annex 7**);
  - of all purse seiners involved in joint fishing operations, irrespective of the length of the vessels. In this respect, an observer shall be present during the fishing operation;
  - during all transfer of bluefin tuna to the cages and all harvest of fish from the cage.

Such purse seine vessels without an ICCAT regional observer shall not be authorized to fish or to operate in the bluefin tuna fishery.

90. An ICCAT Regional Observer Programme shall ensure an observer presence during all transfer of bluefin tuna to the cages and all harvest of fish from the cage.

The observer tasks shall be, in particular, to:

- observe and monitor farming operation compliance with the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07],
- validate the caging report referred to in paragraph 82,
- carry out such scientific work, for example collecting samples, as required by the Commission based on the directions from the SCRS.

## Enforcement

91. CPCs shall take enforcement measures with respect to a fishing vessel, where it has been established, in accordance with its law that the fishing vessel flying its flag does not comply with the provisions of paragraphs 19 to 24, 27 to 29 and 64 to 68 (closed seasons, minimum size and recording requirements).

The measures may include in particular depending on the gravity of the offence and in accordance with the pertinent provisions of national law:

- fines,
- seizure of illegal fishing gear and catches,
- sequestration of the vessel,
- suspension or withdrawal of authorization to fish,
- reduction or withdrawal of the fishing quota, if applicable.

92. The CPC under whose jurisdiction the farm for bluefin tuna is located shall take enforcement measures with respect to a farm, where it has been established, in accordance with its law that this farm does not comply with the provisions of paragraphs 82 to 85 and 90 (caging operations and observers) and with the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07].

The measures may include in particular depending on the gravity of the offence and in accordance with the pertinent provisions of national law:

- fines,
- suspension or withdrawal of the record of FFBs,
- prohibition to put into cages or market quantities of bluefin tuna.

## Access to video records

93. Each CPC shall take the necessary measures to ensure that the video records of its fishing vessels and of its farms are made available to the ICCAT inspectors and ICCAT observers.

The CPC under whose jurisdiction the farm for bluefin tuna is located shall take the necessary measures to ensure that the video records of its fishing vessels and of its farms are made available to its inspectors and its observers.

## Market measures

94. Consistent with their rights and obligations under international law, exporting and importing CPCs shall take the necessary measures:
- to prohibit domestic trade, landing, imports, exports, placing in cages for farming, re-exports and transshipments of eastern Atlantic and Mediterranean bluefin tuna species that are not accompanied by accurate, complete, and validated documentation required by this Recommendation and Recommendation [08-12] on a bluefin tuna catch documentation programme.
  - to prohibit domestic trade, imports, landings, placing in cages for farming, processing, exports, re-exports and the transshipment within their jurisdiction, of eastern and Mediterranean bluefin tuna species caught by fishing vessels whose flag State either does not have a quota, catch limit or allocation of fishing effort for that species, under the terms of ICCAT management and conservation measures, or when the flag State fishing possibilities are exhausted, or when the individual quotas of catching vessels referred to in paragraph 9 are exhausted;
  - to prohibit domestic trade, imports, landings, processing, exports from farms that do not comply with the *Recommendation by ICCAT on Bluefin Tuna Farming* [Rec. 06-07].

**Conversion factors**

95. The conversion factors adopted by SCRS shall apply to calculate the equivalent round weight of the processed bluefin tuna.

**Growth factors**

96. Each CPC shall define growth factors to be applied to bluefin tuna farmed in its cages. It shall notify to ICCAT Secretariat and to the SCRS the factors and methodology used. The SCRS shall review this information at its annual meetings in 2009 and 2010 and shall report to the Commission. The SCRS shall further study the estimated growth factors and provide advice to the Commission for its annual meeting in 2010.

**Part V****ICCAT Scheme of Joint International Inspection**

97. In the framework of the multi-annual management plan for bluefin tuna, each CPC agrees, in accordance with Article 9, paragraph 3, of the ICCAT Convention, to apply the ICCAT Scheme of Joint International Inspection adopted during its Fourth Regular Meeting, held in November 1975 in Madrid<sup>2</sup>, as modified in **Annex 8**.
98. The Scheme referred to in paragraph 97 shall apply until ICCAT adopts a monitoring, control and surveillance scheme which will include an ICCAT scheme for joint international inspection, based on the results of the Integrated Monitoring Measures Working Group, established by Resolution 00-20.

**Part VI****Final provisions****99. Availability of data to the SCRS**

The ICCAT Secretariat shall make available to the SCRS all data received in accordance with the present Recommendation.

All data shall be treated in a confidential manner.

**100. Evaluation**

All the CPCs shall submit each year to the Secretariat regulations and other related documents adopted by them to implement this Recommendation. In order to have greater transparency in implementing this Recommendation, all the CPCs involved in the bluefin tuna chain shall submit each year, no later than 15 October, a detailed report on their implementation of this Recommendation.

**101. Cooperation**

All the CPCs involved in the bluefin tuna chain are encouraged to enter into bilateral arrangements in order to improve the compliance with the provisions of this Recommendation.

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<sup>2</sup> Note from the Secretariat: See Appendix II to Annex 7 in *Report for Biennial Period, 1974-75, Part II (1975)*.

These arrangements could notably cover exchanges of inspectors, joint inspections and data sharing.

## **102. Repeals**

This Recommendation repeals paragraph 10 of Recommendation [06-07]; Recommendation [07-04] and paragraph 6 of Recommendation [07-08].

This Recommendation replaces Recommendation [06-05]. Paragraphs 50 and 51 of Recommendation [06-05] shall remain in force until the ICCAT Regional Observer Programme referred to in paragraphs 89 and 90 is implemented.

**Annex 1****Specific conditions applying to the catching vessels referred to in Paragraph 28**

1. CPCs shall limit:
  - The maximum number of its baitboats and trolling boats authorized to fish actively bluefin tuna to the number of the vessels participating in directed fishery for bluefin tuna in 2006.
  - The maximum number of its artisanal fleet authorized to fish actively bluefin tuna in Mediterranean to the number of the vessel participating in the fishery for bluefin tuna in 2008.
  - The maximum number of its catching vessel authorized to fish actively bluefin tuna in Adriatic to the number of the vessel participating in the fishery for bluefin tuna in 2008. Each CPC shall allocate individual quotas to the concerned vessels.
2. By 30 January each year, CPCs shall submit to ICCAT Secretariat, the number of catching vessels established pursuant to paragraph 1 of this Annex.
3. CPCs shall issue specific authorizations to the catching vessel referred to in paragraph 1 and shall transmit the list of such catching vessels to ICCAT Secretariat.
4. Any subsequent changes shall not be accepted unless a notified catching vessel is prevented from participation due to legitimate operational reasons or force majeure. In such circumstances CPC concerned shall immediately inform the ICCAT Executive Secretariat, providing:
  - a) full details of the intended replacement of the catching vessel referred to in paragraph 3 of this Annex;
  - b) a comprehensive account of the reasons justifying the replacement and any relevant supporting evidence or references.
5. Each CPC shall allocate no more than 7% of its quota for bluefin tuna among its baitboats and trolling boats, with up to a maximum of 100 t of bluefin tuna weighing no less than 6.4kg caught by baitboat vessels of an overall length of less than 17 m by derogation to paragraph 28 of this Recommendation.
6. Each CPC may allocate no more than 2% of its quota for bluefin tuna among its coastal artisanal fishery for fresh fish in the Mediterranean.

Each CPC may allocate no more than 90% of its quota for bluefin tuna among its catching vessel in Adriatic for farming purposes.

7. Authorized catching vessels pursuant to paragraph 1 of this Annex shall only land bluefin tuna catches in designated ports. To this end, each CPC shall designate ports in which landing of bluefin tuna is authorized and communicate a list of these ports to the ICCAT Secretariat by 1 March each year.

For a port to be determined as designated port, the port State shall specify permitted landing times and places. The port State shall ensure full inspection coverage during all landing times and at all landing places.

On the basis of this information the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website for these fisheries.

8. Prior to entry into any designated port, authorized catching vessels in accordance with paragraph 4 of this Annex or their representative, shall provide the competent port authorities at least 4 hours before the estimated time of arrival with the following:
  - a) estimated time of arrival,
  - b) estimate of quantity of bluefin tuna retained on board,
  - c) information on the zone where the catches were taken;

Each landing shall be subjected to an inspection in port.

Port state authorities shall keep a record of all prior notice for the current year.

9. CPCs shall implement a catch reporting regime that ensures that an effective monitoring of the utilization of each vessels quota.
10. Bluefin tuna catches may not be offered for retail sale to the final consumer, irrespective of the marketing method, unless appropriate marking or labeling indicates:
  - a) the species, fishing gear used,
  - b) the catch area and date.
11. Beginning 1 July 2007, CPCs whose baitboats, longliners, handliners and trolling boats are authorized to fish for bluefin tuna in the East Atlantic and Mediterranean shall institute tail tag requirements as follows:
  - a) Tail tags must be affixed on each bluefin tuna immediately upon offloading.
  - b) Each tail tag shall have a unique identification number and be included on bluefin tuna catch documents and written on the outside of any package containing tuna.
12. The master of the catching vessel shall ensure that any quantity of bluefin tuna landed in designated port shall be weighed before first sale or before being transported elsewhere from the port of landing.





**Minimum specification for logbooks:**

1. The logbook must be numbered by sheets.
2. The logbook must be filled in every day (midnight) or before port arrival
3. The logbook must be completed in case of at sea inspections
4. One copy of the sheets must remain attached to the logbook
5. Logbooks must be kept on board to cover a period of one-year operation.

**Minimum standard information for logbooks:**

1. Master name and address
2. Dates and ports of departure, Dates and ports of arrival
3. Vessel name, register number, ICCAT number and IMO number (if available). In case of joint fishing operations, vessel names, register numbers, ICCAT numbers and IMO numbers (if available) of all the vessels involved in the operation.
4. Fishing gear:
  - a) Type FAO code
  - b) Dimension (length, mesh size, number of hooks ...)
5. Operations at sea with one line (minimum) per day of trip, providing:
  - a) Activity (fishing, steaming...)
  - b) Position: Exact daily positions (in degree and minutes), recorded for each fishing operation or at noon when no fishing has been conducted during this day.
  - c) Record of catches:
6. Species identification:
  - a) by FAO code
  - b) round (RWT) weight in kg per day
  - c) number of pieces per day
7. Master signature
8. Observer signature (if applicable)
9. Means of weight measure: estimation, weighing on board and counting.
10. The logbook is kept in equivalent live weight of fish and mentions the conversion factors used in the evaluation.

**Minimum information in case of landing, transshipment/transfer:**

1. Dates and port of landing /transshipment/transfer
2. Products
  - a) presentation
  - b) number of fish or boxes and quantity in kg
3. Signature of the Master or Vessel Agent

**Document No. ICCAT TRANSFER/TRANSHIPMENT DECLARATION**

Tug/Carrier vessel	Fishing Vessel	Farm of destination	Trap
Name of vessel and radio call sign:	Name of the vessel and radio call sign,	Name	Name
Flag:	Flag:	ICCAT Register number	ICCAT Register number
Flag State authorization No.	Flag State authorization No.		
National Register No.	National register No.		
ICCAT Register No.	ICCAT Register No.		
IMO No.	External identification:		
	Fishing logbook sheet No.		

	Day	Month	Hour	Year	[2_]0_[]_[]_[]	F.V Master's/trap operator name:	Tug/Carrier Master's name:	LOCATION OF TRANSHIPMENT
Departure	[]_[]	[]_[]	[]_[]	from	[]_[]_[]_[]			
Return	[]_[]	[]_[]	[]_[]	to	[]_[]_[]_[]	Signature:	Signature:	
Transfer/Transh.	[]_[]	[]_[]	[]_[]		[]_[]_[]_[]			

For transhipment, indicate the weight in kilograms or the unit used (e.g. box, basket) and the landed weight in kilograms of this unit: []\_[] kilograms.

In case of transfer of live fish indicate number of unit and live weight

Port	Sea		Species	Number of unit of fishes	Type of Product Live	Type of Product Whole	Type of Product Guttet	Type of Product Head off	Type of Product Filleted	Type of Product	further transfer / transhipments
	Lat.	Long.									
											Date: _____ Place/Position: _____
											Authorization CP No. _____
											Transfer vessel Master signature: _____
											Name of receiver vessel: _____
											Flag _____
											ICCAT Register No. _____


ICCAT Observer signature (if applicable).

Obligations in case of transfer/transshipment:

1. The original of the transfer/transshipment declaration must be provided to the recipient vessel (tug/processing/transport).
2. The copy of the transfer/transshipment declaration must be kept by the correspondent catching vessel or trap.
3. Further transfers or transshipping operations shall be authorized by the relevant CP which authorized the vessel to operate.
4. The original of the transfer/transshipment declaration has to be kept by the recipient vessel which holds the fish, up to the farm or the landing place.
5. The transfer or transshipping operation shall be recorded in the logbook of any vessel involved in the operation.

## Allocation Scheme for 2007-2010

## Recovery Plan for a four-year period (Unit: t)

	2007	2008	2009	2010
Albania			50.00	50.00
Algerie	1,511.27	1,460.04	1,117.42	1,012.13
China (People's Republic)	65.78	63.55	61.32	56.86
Croatia	862.31	833.08	641.45	581.51
Egypt			50.00	50.00
European Community*	16,779.55	16,210.75	12,406.62	11,237.59
Iceland	53.34	51.53	49.72	46.11
Japan	2,515.82	2,430.54	1,871.44	1,696.57
Korea	177.80	171.77	132.26	119.90
Libya	1,280.14	1,236.74	946.52	857.33
Moroc	2,824.30	2,728.56	2,088.26	1,891.49
Norway	53.34	51.53	49.72	46.11
Syria	53.34	51.53	50.00	50.00
Tunisie	2,333.58	2,254.48	1,735.87	1,573.67
Turkey	918.32	887.19	683.11	619.28
Chinese Taipei	71.12	68.71	66.30	61.48

\*Fishing possibilities for EC-Malta and EC-Cyprus as follows: 2007: 355.59 t and 154.68 t, respectively, 2008: 343.54 t and 149.44 t, respectively.

## Catch Report Form

<i>ICCAT Weekly Catch Report</i>										
<i>Flag</i>	<i>ICCAT Number</i>	<i>Vessel Name</i>	<i>Report Start date</i>	<i>Report End date</i>	<i>Report Duration (d)</i>	<i>Catch date</i>	<i>Caught</i>			<i>Attributed Weight in case JFO (kg)</i>
							<i>Weight (kg)</i>	<i>Number of Pieces</i>	<i>Average Weight (kg)</i>	

## Annex 6

**Joint Fishing Operation**

<i>Flag State</i>	<i>Vessel Name</i>	<i>ICCAT No.</i>	<i>Duration of the Operation</i>	<i>Identity of the Operators</i>	<i>Vessels individual quota</i>	<i>Allocation key per vessel</i>	<i>Fattening and farming farm destination</i>	
							<i>CPC</i>	<i>ICCAT No.</i>

Date .....

Validation of the flag State .....

### ICCAT Regional Observer Programme

1. Each CPC shall require its farms, its purse seine vessels over 24 m and its purse seine vessels involved in joint fishing operations to carry an ICCAT observer during all the fishing and harvesting period in the Convention area.
2. By 1 February each year, CPCs shall notify to the ICCAT Executive Secretariat a list of its observers.
3. The Secretariat of the Commission shall appoint the observers before 1 March each year, and shall place them into farms and on board the purse seine vessels flying the flag of Contracting Parties and of non-Contracting Cooperating Parties, Entities or Fishing Entities that implement the ICCAT observer program. An ICCAT observer card shall be issued for each observer.
4. The Secretariat shall issue a contract listing the rights and duties of the observer and the master of the vessel or farm operator. This contract shall be signed by both parties involved.
5. The Secretariat shall establish an ICCAT observer programme manual.

#### Designation of the observers

6. The designated observers shall have the following qualifications to accomplish their tasks:
  - sufficient experience to identify species and fishing gear;
  - satisfactory knowledge of the ICCAT conservation and management measures assessed by a certificate provided by the CPCs and based on ICCAT training guidelines;
  - the ability to observe and record accurately;
  - a satisfactory knowledge of the language of the flag of the vessel or farm observed.

#### Obligations of the observer

7. Observers shall:
  - a) have completed the technical training required by the guidelines established by ICCAT;
  - b) be nationals of one of the CPCs and, to the extent possible, not of the farm State or flag State of the purse seine vessel;
  - c) be capable of performing the duties set forth in point 8 below;
  - d) be included in the list of observers maintained by the Secretariat of the Commission;
  - e) not have current financial or beneficial interests in the bluefin tuna fishery.
8. The observer tasks shall be in particular:
  - a) As regards observers on purse-seine vessels, to monitor the purse seine vessels' compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
    - i) record and report upon the fishing activities carried out;
    - ii) observe and estimate catches and verify entries made in the logbook;
    - iii) issue a daily report of the purse seiner vessels' transfer activities;
    - iv) sight and record vessels which may be fishing in contravention to ICCAT conservation and management measures;
    - v) record and report upon the transfer activities carried out;
    - vi) verify the position of the vessel when engaged in transfer;

- vii) observe and estimate products transferred, including through the review of video recordings;
  - viii) verify and record the name of the fishing vessel concerned and its ICCAT number;
  - ix) carry out scientific work such as collecting task II data when required by the Commission, based on the directives from the SCRS.
- b) As regards observers in the farms, to monitor the farms' compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
- i) verify the data contained in the transfer declaration and caging declaration, including through the review of video records;
  - ii) certify the data contained in the transfer declaration and caging declaration;
  - iii) issue a daily report of the farms' transfer activities;
  - iv) countersign the transfer declaration and caging declaration;
  - v) carry out such scientific work, for example collecting samples, as required by the Commission, based on the directives from the SCRS.
- c) establish general reports compiling the information collected in accordance with this paragraph and provide the master and farm operator the opportunity to include therein any relevant information.
- d) submit to the Secretariat the aforementioned general report within 20 days from the end of the period of observation.
- e) exercise any other functions as defined by the Commission.
9. Observers shall treat as confidential all information with respect to the fishing and transfer operations of the purse seiners and of the farms and accept this requirement in writing as a condition of appointment as an observer;
10. Observers shall comply with requirements established in the laws and regulations of the flag or farm State which exercises jurisdiction over the vessel or farm to which the observer is assigned.
11. Observers shall respect the hierarchy and general rules of behavior which apply to all vessel and farm personnel, provided such rules do not interfere with the duties of the observer under this program, and with the obligations of vessel and farm personnel set forth in paragraph 12 of this program.

#### **Obligations of the flag States of purse seine vessels and farm States**

12. The responsibilities regarding observers of the flag States of the purse seine vessels and their masters shall include the following, notably:
- a) Observers shall be allowed to access to the vessel and farm personnel and to the gear, cages and equipment;
  - b) Upon request, observers shall also be allowed access to the following equipment, if present on the vessels to which they are assigned, in order to facilitate the carrying out of their duties set forth in paragraph 8:
    - i) satellite navigation equipment;
    - ii) radar display viewing screens when in use;
    - iii) electronic means of communication;
  - c) Observers shall be provided accommodations, including lodging, food and adequate sanitary facilities, equal to those of officers;
  - d) Observers shall be provided with adequate space on the bridge or pilot house for clerical work, as well as space on deck adequate for carrying out observer duties; and



- e) The flag States shall ensure that masters, crew, farm and vessel owners do not obstruct, intimidate, interfere with, influence, bribe or attempt to bribe an observer in the performance of his/her duties.

The Secretariat, in a manner consistent with any applicable confidentiality requirements, is requested to provide to the farm State or flag State of the purse seine vessel, copies of all raw data, summaries, and reports pertaining to the trip. The Secretariat shall submit the observer reports to the Compliance Committee and to the SCRS.

**Observer fees**

- a) The costs of implementing this program shall be financed by the farm operators and purse seiner's owners. The fee shall be calculated on the basis of the total costs of the program. This fee shall be paid into a special account of the ICCAT Secretariat and the ICCAT Secretariat shall manage the account for implementing the program;
- b) No observer shall be assigned to a vessel or farm for which the fees, as required under subparagraph a), have not been paid.

### ICCAT Scheme of Joint International Inspection

Pursuant to paragraph 3 of Article IX of the Convention, the ICCAT Commission recommends the establishment of the following arrangements for international control outside the waters under national jurisdiction for the purpose of ensuring the application of the Convention and the measures in force thereunder:

#### I. Serious violations

1. For the purposes of these procedures, a serious violation means the following violations of the provisions of the ICCAT conservation and management measures adopted by the Commission:
  - a. fishing without a license, permit or authorization issued by the flag CPC,
  - b. failure to maintain sufficient records of catch and catch-related data in accordance with the Commission's reporting requirements or significant misreporting of such catch and/or catch-related data;
  - c. fishing in a closed area;
  - d. fishing during a closed season;
  - e. intentional taking or retention of species in contravention of any applicable conservation and management measure adopted by the ICCAT;
  - f. significant violation of catch limits or quotas in force pursuant to the ICCAT rules;
  - g. using prohibited fishing gear;
  - h. falsifying or intentionally concealing the markings, identity or registration of a fishing vessel;
  - i. concealing, tampering with or disposing of evidence relating to investigation of a violation;
  - j. multiple violations which taken together constitute a serious disregard of measures in force pursuant to the ICCAT;
  - k. assault, resist, intimidate, sexually harass, interfere with, or unduly obstruct or delay an authorized inspector or observer;
  - l. intentionally tampering with or disabling the vessel monitoring system;
  - m. such other violations as may be determined by the ICCAT, once these are included and circulated in a revised version of these procedures;
  - n. fishing with assistance of spotter planes;
  - o. interference with the satellite monitoring system and/or operates without VMS system;
  - p. transfer activity without transfer declaration.
2. In the case of any boarding and inspection of a fishing vessel during which the authorized inspectors observe an activity or condition that would constitute a serious violation, as defined in paragraph 1, the authorities of the inspection vessels shall immediately notify the authorities of the fishing vessel, directly as well as through the ICCAT Secretariat.
3. The flag State CPC shall ensure that, following the inspection referred to in paragraph 2 of this Annex, the fishing vessel concerned ceases all fishing activities. The flag State CPC shall require the fishing vessel to proceed immediately to a port designated by it, and where an investigation shall be initiated.

If the vessel is not called to port; the CPC must provide due justification in a timely manner to the Executive Secretary, who shall make it available on request to other Contracting parties

## II. Conduct of inspections



4. Inspection shall be carried out by inspectors of the fishery control services of Contracting Governments. The names of the inspectors appointed for that purpose by their respective governments shall be notified to the ICCAT Commission;
5. Ships carrying inspectors shall fly a special flag or pennant approved by the ICCAT Commission to indicate that the inspector is carrying out international inspection duties. The names of the ships so used for the time being, which may be either special inspection vessels or fishing vessels, shall be notified to the ICCAT Commission, as soon as may be practical;
6. Each inspector shall carry an identity document supplied by the authorities of the flag State in the form shown in paragraph 17 of this Annex and giving him an appointment stating that he has authority to act under arrangements approved by the ICCAT Commission. This identity document shall be valid for a minimum of five years;
7. Subject to the arrangements agreed under paragraph 12 of this Annex, a vessel employed for the time being in fishing for tuna or tuna-like fishes in the Convention Area outside the waters within its national jurisdiction shall stop when given the appropriate signal in the International Code of Signals by a ship carrying an inspector unless it is actually carrying out fishing operations, in which case it shall stop immediately once it has finished such operations. The master<sup>3</sup> of the vessel shall permit the inspector, who may be accompanied by a witness, to board it. The master shall enable the inspector to make such examination of catch or gear and any relevant documents as the inspector deems necessary to verify the observance of the ICCAT Commission's recommendations in force in relation to the flag State of the vessel concerned and the inspector may ask for any explanations that he deems necessary;
8. On boarding the vessel an inspector shall produce the document described in paragraph 6 of this Annex. Inspections shall be made so that the vessel suffers the minimum interference and inconvenience and the quality of the fish does not deteriorate. An inspector shall limit his enquiries to the ascertainment of the observance of the ICCAT Commission's recommendations in force in relation to the flag State of the vessel concerned. In making his examination an inspector may ask the master for any assistance he may require. He shall draw up a report of his inspection in a form approved by the ICCAT Commission. He shall sign the report in the presence of the master of the vessel who shall be entitled to add or have added to the report any observations which he may think suitable and must sign such observations. Copies of the report shall be given to the master of the vessel and to the inspector's government, which shall transmit copies to the appropriate authorities of the flag State of the vessel and to the ICCAT Commission. Where any infringement of the recommendations is discovered the inspector should, where possible, also inform the competent authorities of the flag State, as notified to the ICCAT Commission, and any inspection ship of the flag State known to be in the vicinity;
9. Resistance to an inspector or failure to comply with his directions shall be treated by the flag State of the vessel in a manner similar to resistance to any inspector of that State or a failure to comply with his directions;
10. Inspector shall carry out their duties under these arrangements in accordance with the rules set out in this recommendation but they shall remain under the operational control of their national authorities and shall be responsible to them;

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<sup>3</sup> Master refers to the individual in charge of the vessel.

11. Contracting Governments shall consider and act on reports of foreign inspectors under these arrangements on a similar basis in accordance with their national legislation to the reports of national inspectors. The provisions of this paragraph shall not impose any obligation on a Contracting Government to give the report of a foreign inspector a higher evidential value than it would possess in the inspector's own country. Contracting Governments shall collaborate in order to facilitate judicial or other proceedings arising from a report of an inspector under these arrangements;
12. a) Contracting Governments shall inform the ICCAT Commission by 1 March each year of their provisional plans for participation in these arrangements in the following year and the Commission may make suggestions to Contracting Governments for the coordination of national operations in this field including the number of inspectors and ships carrying inspectors;
  - b) the arrangements set out in this recommendation and the plans for participation shall apply between Contracting Governments unless otherwise agreed between them, and such agreement shall be notified to the ICCAT Commission:  
 Provided however, that implementation of the scheme shall be suspended between any two Contracting Governments if either of them has notified the ICCAT Commission to that effect, pending completion of an agreement;
13. a) the fishing gear shall be inspected in accordance with the regulations in force for the subarea in which the inspection takes place. The inspector will state the nature of this violation in this report;
  - b) inspectors shall have the authority to inspect all fishing gear in use or that fishing gear on deck ready for use;
14. The inspector shall affix an identification mark approved by the ICCAT Commission to any fishing gear inspected which appears to be in contravention of the ICCAT Commission's recommendations in force in relation to the flag State of the vessel concerned and shall record this fact in his report;
15. The inspector may photograph the gear in such a way as to reveal those features which in his opinion are not in conformity with the regulation in force, in which case the subjects photographed should be listed in the report and copies of the photographs should be attached to the copy of the report to the flag State;
16. The inspector shall have authority, subject to any limitations imposed by the ICCAT Commission, to examine the characteristics of catches, to establish whether the ICCAT Commission's recommendations are being complied with.  
  
 He shall report his findings to the authorities of the flag State of the inspected vessel as soon as possible. (*Report for Biennial Period, 1974-75, Part II*).
17. New proposed model Identity Card for inspectors.

Dimensions: Width 10.4cm, Height 7cm

<p style="text-align: center; font-weight: bold; font-size: small;">INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNA</p> <div style="display: flex; justify-content: space-between; align-items: center;">  <p style="font-size: 2em; font-weight: bold; margin: 0;">ICCAT</p> </div> <p style="text-align: center; font-weight: bold; margin-top: 5px;">Inspector Identity Card</p> <p><b>Contracting Party:</b></p> <div style="border: 1px dashed gray; width: 80px; height: 60px; margin: 5px 0;"></div> <p><b>Inspector Name:</b></p> <p><b>Card n°:</b></p> <p><b>Issue Date:</b> <span style="float: right;">Valid five years</span></p>	<div style="display: flex; justify-content: space-between; align-items: center;">  <p style="font-size: 1.5em; font-weight: bold; margin: 0;">ICCAT</p> </div> <p style="font-size: 0.8em; margin-top: 5px;">The holder of this document is an ICCAT inspector duly appointed under the terms of the Scheme of Joint International Inspection and Surveillance of the International Commission for the Conservation of the Atlantic Tuna and has the authority to act under the provision of the ICCAT Control and Enforcement measures.</p> <p style="font-size: 1.2em; margin-top: 10px;">29</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%; border-top: 1px dotted black; padding-top: 2px;"> <p style="font-size: 0.8em; margin: 0;">ICCAT Executive Secretary Issuing Authority</p> </div> <div style="width: 45%; border-top: 1px dotted black; padding-top: 2px;"> <p style="font-size: 0.8em; margin: 0;">Inspector</p> </div> </div>
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08-12

SDP

**RECOMMENDATION BY ICCAT AMENDING  
RECOMMENDATION 07-10 ON AN ICCAT BLUEFIN TUNA  
CATCH DOCUMENTATION PROGRAM**

*RECOGNIZING* the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

*TAKING INTO ACCOUNT* the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

*RECOGNIZING* the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the completion and the validation of the bluefin tuna catch document,

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION  
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

**PART I GENERAL PROVISIONS**

1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
2. For the purpose of this Programme:
  - a) "Domestic trade" means:
    - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
    - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
    - trade between the Member States of the European Community of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.

## b) "Export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non-Contracting Party.

## c) "Import" means:

Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.

## d) "Re-export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.

## e) "flag State" means the State where the fishing vessel is flagged; "trap State" means the State where the trap is established; and "farm State" means the State where the farm is established.

## 3. CPCs shall require a completed Bluefin Tuna Catch Document (BCD) for each bluefin tuna:

- a) landed at its ports,
- b) delivered to its farms, and
- c) harvested from its farms.

Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 9(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Re-export Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.

## 4. In order to support an effective BCD, CPCs shall:

- a) not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record,
- b) not place bluefin tuna from different years or CPCs in the same cages unless effective measures are in place to determine the CPC of origin and catch year when the bluefin tuna are ultimately harvested from the farm

## 5. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as by-catch. Such forms are not transferable. Each BCD

form shall have a unique document identification number. Document numbers shall be specific to the flag or trap State and assigned to the catching vessel or trap.

5. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

## **PART II VALIDATION OF BCDs**

7. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap State, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 9 for a BCD for catch landed, transferred to cages, harvested, transhipped, domestically traded or exported on each occasion that it lands, transfers, harvests, tranships, domestically trades or exports bluefin tuna.
8. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from catch to market, the needed information section of the BCD may be expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
9.
  - a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag State of the catching vessel, the State of the seller/exporter, or the trap or farm State that caught, harvested, domestically traded or exported the bluefin tuna. If the catching vessel is operating under a charter arrangement, the BCD must be validated by an authorized governmental official or institution of the chartering entity's CPC.
  - b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.
  - c) Validation under 9(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag State of the catching vessel or the trap State that fished the bluefin tuna.
  - d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

**PART III VALIDATION OF BFTRCs**

10. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
11. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be reexported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
12. The BFTRC shall be validated by an authorized government official or authority.
13. The CPC shall validate the BFTRC for all bluefin tuna product only when
  - a) all the information contained in the BFTRC has been established to be accurate,
  - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
  - c) the products to be re-exported are wholly or partly the same products on the validated BCD(s).
  - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
14. The validated BFTRC shall include the information identified in **Annex 3** and **Annex 4** attached.

**PART IV VERIFICATION AND COMMUNICATION**

15. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 9(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
  - a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
  - b) the ICCAT Secretariat.
16. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 15 above the information marked with an asterisk (\*) in **Annex 1** or **Annex 3** and enter this information in a database on a password protected section of its website, as soon as practicable.



At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

## **PART V TAGGING**

17. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

## **PART VI VERIFICATION**

18. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.
19. If, as a result of examinations or verifications carried out pursuant to paragraph 18 above, a doubt arises regarding the information contained in a BCD, the final importing State and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
20. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting State and, where known, the flag State.
21. Pending the examinations or verifications under paragraph 18 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.
22. Where a CPC, as a result of examination or verifications under paragraph 18 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
23. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Program and to provide to the Commission data obtained from such implementation.

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**PART VII NOTIFICATION AND COMMUNICATION**

24. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 9(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation program shall be communicated with the initial notification, including procedures to authorize non-governmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.
25. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
26. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.
27. Copies of validated BCDs and notification pursuant to paragraphs 24, 25 and 26 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
28. The Commission shall consider the introduction of an electronic system as informed by results reported to the Commission from the electronic statistical document pilot programs conducted by CPCs in accordance with *Recommendation by ICCAT on an Electronic Statistical Document Pilot Program* [Rec. 06-16]. Those CPCs which implement an electronic system in advance of the Commission shall ensure the electronic system meets the requirements of this measure and has the ability to produce paper copies upon request of national authorities from the exporting and importing Parties.
29. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
30. CPCs shall keep copies of documents issued or received for at least two years.
31. CPCs shall provide to the ICCAT Secretariat a report each year by October 1 for the period from July 1 of the preceding year to June 30 of the current year to provide the information described in **Annex 5**.

The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.

At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.

32. The *Recommendation by ICCAT on an ICCAT Bluefin Tuna Catch Document Program* [Rec. 07-10] is repealed and replaced by this Recommendation.

**Data to be Included in Bluefin Tuna Catch Document (BCD)**

**1. ICCAT Bluefin tuna catch document number\***

**2. Catch Information**

Vessel or trap name\*

Flag State\*

ICCAT Record No.

Date, area of catch and gear used\*

Number of fish, total weight, and average weight\*<sup>4</sup>

Tag No. (if applicable)

*Government validation*

Name of authority and signatory, title, address, signature, seal and date

**3. Trade Information for live fish trade**

*Product description*

*Exporter/Seller information*

*Transportation description*

*Government validation*

Name of authority and signatory, title, address, signature, seal and date

*Importer/buyer*

**4. Transfer information**

*Towing vessel description*

Vessel name, flag

ICCAT Record No. and towing cage number (if applicable)

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<sup>4</sup>Weight shall be reported by round weight where available. If round weight is not used, specify the type of product (e.g., GG) in the "Total Weight" and "Average Weight" section of the form.

## **5. Transshipment information**

Carrier vessel description

Name

Flag State

ICCAT Record No.

Date

Port (name and country or position)

*Product description*

(F/FR; RD/GG/DR/FL/OT)

Total weight (NET)

*Government validation*

Name of authority and signatory, title, address, signature, seal and date

## **6. Farming information**

*Farming facility description*

Name, flag of farm\*, ICCAT FFB No.\* and location of farm

Participation in national sampling program (yes or no)

*Cage description*

Date of caging, cage number

*Fish description*

Estimates of number of fish, total weight, and average weight\*

Estimated size composition (<8 kg, 8-30 kg, >30 kg)

*Government validation*

Name of authority and signatory, title, address, signature, seal and date

## **7. Harvest from Farms information**

*Harvest description*

Date of harvest\*

Number of fish, total (round) weight, and average weight\*

Tag numbers (if applicable)

*Government validation*

Name of authority and signatory, title, address, signature, seal and date

**8. Trade information**

*Product description*

(F/FR; RD/GG/DR/FL/OT)<sup>5</sup>

Total weight (NET)

*Exporter/Seller information*

Point of export or departure\*

Export company name, address, signature and date

State of destination\*

Description of transportation (relevant documentation to be attached)

*Government validation*

Name of authority and signatory, title, address, signature, seal and date

*Importer/buyer information*

Point of import or destination\*

Import company name, address, signature and date<sup>6</sup>

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<sup>5</sup> When different types of products are recorded in this section, the weight shall be recorded by each product type.

<sup>6</sup> DATE to be filled by IMPORTER/BUYER in this section is the date of signature.

## Bluefin Tuna Catch Document Form

<b>1. ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)</b>				<b>N° CC-YY-XXXXXX</b>		<b>1/2</b>	
<b>2. CATCH INFORMATION</b>							
<b>VESSEL/TRAP</b>							
NAME :			FLAG		ICCAT RECORD No.		
			ATEC				
<b>CATCH DESCRIPTION</b>							
DATE (ddmmyy)		AREA		GEAR			
No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)			
TAGS No. (if applicable)						ICCAT RECORD N° of Joint Fishing Operation (if applicable)	
<b>GOVERNMENT VALIDATION</b>							
NAME OF AUTHORITY					SEAL		
TITLE							
SIGNATURE							
DATE							
<b>3. TRADE INFORMATION</b>							
<b>PRODUCT DESCRIPTION</b>							
LIVE WEIGHT (kg)		No. of FISH		ZONE			
<b>EXPORTER/SELLER</b>							
PT EXPORT/ DEPARTURE		COMPANY			ADDRESS		
FARM OF DESTINATION		STATE		ICCAT FFB No.			
SIGNATURE							
DATE							
<b>TRANSPORTATION DESCRIPTION</b> (Relevant documentation to be attached)							
<b>GOVERNMENT VALIDATION</b>							
NAME OF AUTHORITY					SEAL		
TITLE							
SIGNATURE							
DATE							
<b>IMPORTER/BUYER</b>							
COMPANY			PT IMPORT / DESTINATION (city, country, State)				
ADDRESS							
DATE OF SIGNATURE		SIGNATURE					
ANNEX(ES): YES / NO (circle one)							
<b>4. TRANSFER INFORMATION</b>							
<b>TOWING VESSEL DESCRIPTION</b>							
ICCAT TRANSFER DECLARATION N°							
NAME		FLAG		ICCAT RECORD No.			
No. of FISH DEAD DURING TRANSFER				TOTAL WEIGHT OF DEAD FISH (kg)			
TOWING CAGE DESCRIPTION				CAGE N°			
ANNEX(ES): YES / NO (circle one)							
<b>5. TRANSHIPMENT INFORMATION</b>							
<b>CARRIER VESSEL DESCRIPTION</b>							
NAME		FLAG		ICCAT RECORD No.			
DATE(ddmmyy)		PORT NAME				PORT STATE	
POSITION (LAT/LONG)							
<b>PRODUCT DESCRIPTION</b> (Indicate net weight in kg for each type of product)							
<b>F</b>	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT F (kg)	
<b>FR</b>	RD (kg)	GG (kg)	DR (kg)	FL (kg)	OT(kg)	TOTAL WT FR	
<b>GOVERNMENT VALIDATION</b>							
NAME OF AUTHORITY					SEAL		
TITLE							
SIGNATURE							
DATE							
ANNEX(ES): YES / NO (circle one)							

ICCAT BLUE FIN TUNA CATCH DOCUMENT (BCD)				N° CC-YY-XXXXXX		2/2						
<b>6. FARMING INFORMATION</b>												
FARMING FACILITY DESCRIPTION	NAME		STATE		ICCAT FFB N°							
	NATIONAL SAMPLING PROGRAM? Yes or No (circle one)			LOCATION								
CAGE DESCRIPTION	DATE(ddmmyy)		CAGE No.									
FISH DESCRIPTION	No. of FISH		TOTAL WEIGHT (kg)		AVERAGE WEIGHT (kg)							
OBSERVER INFORMATION	NAM E		TITLE			SIGNATURE						
	SIZE COMPOSITION		< 8kg		8-30 kg		> 30 kg					
<b>GOVERNMENT VALIDATION</b>												
	NAME OF AUTHORITY					SEAL						
	TITLE											
	SIGNATURE											
	DATE											
	ANNEX(ES): YES / NO (circle one)											
<b>7. HARVESTING INFORMATION</b>												
<b>HARVESTING DESCRIPTION</b>												
	DATE (ddmmyy)		No. of FISH		TOTAL ROUND WEIGHT (kg)							
	AVERAGE WEIGHT (kg)		TAGS No. (if applicable)									
<b>GOVERNMENT VALIDATION</b>												
	NAME OF AUTHORITY					SEAL						
	TITLE											
	SIGNATURE											
	DATE											
	ANNEX(ES): YES / NO (circle one)											
<b>8. TRADE INFORMATION</b>												
<b>PRODUCT DESCRIPTION (Indicate net weight in kg for each type of product)</b>												
<b>F</b>	RD (kg)		GG (kg)		DR (kg)		FL (kg)		OT(kg)		TOTAL WT F	
<b>FR</b>	RD (kg)		GG (kg)		DR (kg)		FL (kg)		OT(kg)		TOTAL WT FR	
<b>EXPORTER/SELLER</b>												
PT EXPORT / DEPARTURE			COMPANY				ADDRESS					
STATE OF DESTINATION												
SIGNATURE												
DATE												
<b>TRANSPORTATION DESCRIPTION (Relevant documentation to be attached)</b>												
<b>GOVERNMENT VALIDATION</b>												
	NAME OF AUTHORITY					SEAL						
	TITLE											
	SIGNATURE											
	DATE											
	ANNEX(ES): YES / NO (circle one)											
<b>IMPORTER/BUYER</b>												
COMPANY					PT IMPORT / DESTINATION (city, country, State)							
ADDRESS												
DATE					SIGNATURE							
ANNEX(ES): YES / NO (circle one)												



**Data to be Included in the Bluefin Tuna Re-export Certificate (BFTRC)**

**1. Document number of the BFTRC\***

**2. Re-export section**

Re-exporting CPC/Entity/Fishing Entity

Point of re-export\*

**3. Description of imported bluefin tuna**

Product type F/FR RD/GG/DR/FL/OT<sup>1</sup>

Net weight (kg)

BCD number(s) and date(s) of importation\*

Flag(s) of fishing vessel(s) or state of establishment of the trap, where appropriate

**4. Description of bluefin tuna to be re-exported**

Product type F/FR RD/GG/DR/FL/OT\*<sup>1</sup>

Net weight (kg)\*

Corresponding BCD number(s) from section 3

State of destination

**5. Statement of re-exporter**

Name

Address

Signature

Date

**6. Validation by governmental authorities**

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<sup>1</sup>When different types of products are recorded in this section, the weight shall be recorded by each product type.

Name and address of the authority

Name and position of the official

Signature

Date

Government seal

## **7. Import section**

Statement by the importer in the CPC of import of the bluefin tuna consignment

Name and address of the importer

Name and signature of the importer's representative and date

Point of import: City and CPC\*

Note: Copies of the BCD(s) and Transport document(s) shall be attached.

<b>1. DOCUMENT NUMBER</b>		<b>ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE</b>				
<b>2. RE-EXPORT SECTION:</b>						
RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY						
POINT OF RE-EXPORT						
<b>3. DESCRIPTION OF IMPORTED BLUEFIN TUNA</b>						
Product Type <i>F/FR RD/GG/DR/FL/OT</i>		Net Weight (kg)		Flag CPC	Date of import	BCD No.
<b>4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT</b>						
Product Type <i>F/FR RD/GG/DR/FL/OT</i>		Net Weight (kg)		Corresponding BCD number		
<p>F=Fresh, FR=Frozen, RD=Round, GG=Gilled &amp; Gutted, DR=Dressed, FL=Fillet,  OT=Others (Describe the type of product: )</p>						
<b>STATE OF DESTINATION:</b>						
<b>5. RE-EXPORTER STATEMENT:</b>						
I certify that the above information is complete, true and correct to the best of my knowledge and belief.						
Name	Address	Signature	Date			
<b>6. GOVERNMENT VALIDATION:</b>						
I validate that the above information is complete, true and correct to the best of my knowledge and belief.						
Name & Title	Signature	Date	Government Seal			
<b>7. IMPORT SECTION</b>						
<b>IMPORTER STATEMENT:</b>						
I certify that the above information is complete, true and correct to the best of my knowledge and belief.						
Importer Certification						
Name	Address	Signature	Date			
Final Point of Import: City		State/Province	CPC			

***NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.***

Note: Valid transport document and copies of the BCDs shall be attached.

**Report on the Implementation of the ICCAT Bluefin Tuna Catch Documentation Programme**

Reporting CPC:

Period of reference: 1 July [2XXX] to 30 June [2XXX]

1. Information extracted from BCDs

- number of BCDs validated:
- number of validated BCDs received:
- total amount of bluefin tuna products traded domestically, with breakdown by fishing areas and fishing gears,
- total amount of bluefin tuna products imported, exported, transferred to farms, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears,
- number of verifications of BCDs requested to other CPCs and summary results:
- number of requests for verifications of BCDs received from other CPCs and summary results:
- total amount of bluefin tuna consignments subject to a prohibition decision with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination.

2. Information on cases under Part VI paragraph 18.

- number of cases
- total amount of bluefin tuna with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), CPCs or other countries referred to in Part VI paragraph 18 above.